

SELF-CERTIFIED SOPHISTICATED INVESTOR STATEMENT

If you meet **condition A, B, C or D below**, you may choose to be classified as a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005.

Have you:

- A) Worked in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises, in the last two years?

☐ No
☐ Yes

If yes, what is/was the name of the business/organisation? [_____]

- B) Been the director of a company with an annual turnover of at least £1 million, in the last two years?

☐ No
☐ Yes

If yes, what is/was the name of the company, and its Companies House number (or international equivalent)?
[_____]

- C) Made more than one investment in an unlisted company, in the last two years?

☐ No
☐ Yes

- D) Been a member of a network or syndicate of business angels for more than six months, and are still a member?

☐ No
☐ Yes

If yes, what is the name of the network or syndicate? [_____]

OR

- E) None of these apply to me.

☐ Yes

I declare that I have answered yes to A and/or B and/or C and/or D and wish to be treated as a self-certified sophisticated investor.

I understand that this means:

- a) I can receive financial promotions where the contents may not comply with rules made by the Financial Conduct Authority (FCA); and
- b) I can expect **no protection** from the FCA, the Financial Ombudsman Service or the Financial Services Compensation Scheme.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

I accept that I could lose all of the money I invest.

Signature: Date:

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