

SELF-CERTIFIED SOPHISTICATED INVESTOR STATEMENT

If you meet **condition A, B or C below**, you may choose to be classified as a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005.

Have you:

- A) Worked in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises, in the last two years?

- No
 Yes

If yes, what is/was the name of the business/organisation? [_____]

- B) Been the director of a company with an annual turnover of at least £1.6 million, in the last two years?

- No
 Yes

If yes, what is/was the name of the company, and its Companies House number (or international equivalent)?
[_____]

- C) Been a member of a network or syndicate of business angels for more than six months, and are still a member?

- No
 Yes

If yes, what is the name of the network or syndicate? [_____]

OR

- D) None of these apply to me.

- Yes

I declare that I have answered yes to A and/or B and/or C and wish to be treated as a self-certified sophisticated investor.

I understand that this means:

- a) I can receive financial promotions where the contents may not comply with rules made by the Financial Conduct Authority (FCA); and
- b) I can expect **no protection** from the FCA, the Financial Ombudsman Service or the Financial Services Compensation Scheme.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

I accept that I could lose all of the money I invest.

Signature: Date:

Oxford Innovation Finance is the home of OION and the Oxford Innovation EIS Growth Fund.

Oxford Innovation Finance and OION are trading names of Oxford Investment Opportunity Network Limited, Oxford Centre for Innovation, New Road, Oxford OX1 1BY. Registered in England No 07719853. VAT No GB 844 2867 04.

Oxford Investment Opportunity Network Limited is authorised and regulated by the Financial Conduct Authority.